A Simple Necessary and Sufficient Condition for the Double Unicast Problem

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Abstract—We consider a directed acyclic network where there are two source-terminal pairs and the terminals need to receive the symbols generated at the respective sources. Each source independently generates an i.i.d. random process over the same alphabet. Each edge in the network is error-free, delay-free, and can carry one symbol from the alphabet per use. We give a simple necessary and sufficient condition for being able to simultaneously satisfy the unicast requirements of the two source-terminal pairs at rate-pair (1,1) using vector network coding. The condition is also sufficient for doing this using only "XOR" network coding and is much simpler compared to the necessary and sufficient conditions known from previous work. Our condition also yields a simple characterization of the capacity region of a double-unicast network which does not support the rate-pair (1,1).

I. INTRODUCTION

The field of network coding started with the seminal work of Ahlswede et. al. [1] which showed that throughput improvements could be obtained by allowing intermediate nodes in a network to perform coding operations on incoming packets. The capacity of a multicast network was shown to be equal to the minimum of the min-cuts between the source and the individual terminals. In [2] it was shown that linear network coding is sufficient to achieve the multicast capacity. An algebraic formulation was given for the multicast scenario in [3]. The area of network coding for multicast has since seen rapid developments (e.g. [4] [5]).

Though the multicast case is well understood, the promise of network coding for arbitrary source-terminal demands has not yet been gauged. The problem of finding necessary and sufficient conditions for an arbitrary network information flow problem seems a difficult task [6]. Even for the case of multiple unicast sessions, explicit necessary and sufficient conditions for feasibility of network coding are not known. An outer bound, called network sharing bound, on the achievable rate-region for arbitrary multi-source multi-terminal network was presented in [8]. This, as a special case, gives a necessary condition for any rate-pair to be achievable in a doubleunicast network. Recently, Wang and Shroff [7] gave a pathbased necessary and sufficient condition for a directed acyclic network to be able to support two unicast sessions by scalar network coding. Their condition is in terms of existence of a pair of triplets of paths satisfying a certain condition. They also stated [7, Corollary 3] that the condition implied by the network sharing bound is also sufficient for this case.

In this paper, we present a considerably simpler necessary and sufficient condition for a directed acyclic network to simultaneously support two unicast sessions at rate (1,1). This characterization does not assume scalar network coding. We provide a rigorous proof for the condition. Though on analysing for our case, one can conclude the equivalence of our condition with that implied by network sharing bound, our condition is useful for three reasons: (i) the simplicity of our condition is useful and illuminating, (ii) the condition is proved to be necessary and sufficient even under vector network coding, (iii) the condition allows for exact capacity region characterization, namely $r_1 + r_2 \leq 1$, of networks which do not support the rate (1,1). Our proof is constructive, and it also reveals that whenever a network supports two simultaneous unicast sessions at rate (1,1), a XOR coding scheme suffices. This was also noted in [9].

The paper is organized as follows. In Section II, we introduce some notations and terminology. In Section III, we present our results without proofs. The proof of the main result is presented in Section IV. The paper is concluded in Section V.

II. NOTATIONS AND TERMINOLOGY

We consider a directed acyclic network represented by a graph G(V,E). In network coding, the intermediate nodes combine the incoming messages to construct the outgoing messages. In this paper, we assume that each source generates a i.i.d. random process over an alphabet \mathcal{A} , and the processes generated by different sources are independent. Each edge can carry one symbol from the alphabet per unit time in a errorfree and delay-free manner. If the alphabet is a field, a network code is said to be linear if the nodes perform linear combining over that field. In a general network, there may be multiple sources and multiple terminals demanding some of the source processes. Any network code which satisfies the terminals' demands is said to solve the network, and the network code is called a solution.

If a network is solvable by linear coding over the binary field F_2 , then clearly it is also solvable over any other field by nodes performing only addition or subtraction. Moreover, for any alphabet, one can define an abelian group structure isomorphic to the integers modulo $|\mathcal{A}|$, and if a network has a

linear coding solution over F_2 then it also has a solution over \mathcal{A} where each node performs addition and subtraction in the corresponding abelian group. We call such a network code as XOR code.

The starting node of an edge e is called its tail, and is denoted by tail(e). The end-node of e is called its head, and is denoted by head(e). A path P from v_1 to v_l - also called a (v_1,v_l) path - is a sequence of nodes v_1,v_2,\ldots,v_l and edges e_1,e_2,\ldots,e_{l-1} such that $v_i=tail(e_i)$ and $head(e_i)=v_{i+1}$ for $1\leq i\leq l-1$. The node pair (v_i,v_j) is said to be connected if there exists a (v_i,v_j) path. If there is a (v_i,v_j) path, then we call v_i an ancestor of v_j , and v_j a descendant of v_i . A section of the path P starting from the node v_j and ending at v_k is denoted by $P(v_j:v_k)$. For any path or a section of a path P, V(P) denotes the set of vertices on it. If P_1 is a path from v_i to v_j and P_2 is a path from v_j to v_k then P_1P_2 denotes the path from v_i to v_k obtained by concatenating P_1 and P_2 .

In this paper, we consider a directed acyclic double-unicast network where there are two sources s_1 and s_2 , and two terminals t_1 and t_2 . The terminal t_1 wants to recover the source process generated by s_1 and the terminal t_2 wants to recover the source process generated by s_2 . A rate-pair (r_1, r_2) is said to be achievable if for some n, there is an n-length vector network code (which uses each link n times) which simultaneously allows communication of a symbol-vector $\mathbf{x}_1 \in \mathcal{A}^{r_1n}$ from s_1 to t_1 and a symbol-vector $\mathbf{x}_2 \in \mathcal{A}^{r_2n}$ from s_2 to t_2 . The capacity region of a double-unicast network is defined as the set of all achievable rate pairs (r_1, r_2) at which the two unicasts can be supported and its symmetric capacity r is defined as the maximum r s.t. (r, r) is achievable.

For the rest of the paper, we assume that the network under consideration is a directed acyclic double-unicast network where both the source-terminal pairs (s_1,t_1) and (s_2,t_2) are connected i.e. there exists a path from s_1 to t_1 and from s_2 to t_2 . Otherwise the capacity-region of the network is characterised by the max-flow min-cut theorem.

III. MAIN RESULTS

We now present the main result of the paper in the following theorem. We emphasize that in all that follows, (s_1, t_1) and (s_2, t_2) are assumed to be connected.

Theorem 1: A directed acyclic double-unicast network can not simultaneously support two unicast sessions (s_1,t_1) and (s_2,t_2) at rate (1,1) if and only if it contains an edge whose removal disconnects (s_1,t_1) , (s_2,t_2) , and at least one of (s_1,t_2) and (s_2,t_1) . Furthermore, (i) whenever such an edge does not exist, the rate-pair (1,1) is achieved by using XOR coding and (ii) whenever such an edge exists, the capacity region of the network is given by $r_1 + r_2 \leq 1$.

Corollary 2: The symmetric capacity of a double-unicast network is either 0, 1/2, or ≥ 1 .

IV. PROOF OF THEOREM 1

A. Proof of necessity

We consider two symbols x_1 and x_2 generated at s_1 and s_2 respectively. In this part of the proof, we show that whenever an edge satisfying the hypothesis of the theorem does not exist, x_1 and x_2 can be communicated to t_1 and t_2 respectively by XOR coding. We consider two cases:

Case I: There exist two edge-disjoint (s_1, t_1) and (s_2, t_2) paths

In this case, clearly the hypothesis of the theorem is satisfied and the network is solvable by routing.

Case II: There are no two edge-disjoint (s_1, t_1) and (s_2, t_2) paths

So for any (s_1, t_1) path, removing all its edges disconnects (s_2, t_2) . Furthermore let us assume that no edge satisfies the hypothesis of Theorem 1 i.e., removal of no edge disconnects (s_1, t_1) , (s_2, t_2) and one or more of (s_1, t_2) and (s_2, t_1) . We will show that the network is solvable using a XOR code in this scenario.

Let P be a (s_1, t_1) path. Let n_1 be the first node on P such that there exists a (s_2, n_1) path and let n_k be the last node on P such that there exists a (n_k, t_2) path. WLOG, let us assume that:

- 1. No ancestor of n_1 has paths from both the sources to itself (else we could have taken P to be the path which contained this ancestor).
- 2. No descendant of n_k has paths from itself to both the terminals (else we could have taken P to be a path which contained this descendant).

Let us choose a (s_2, n_1) path and a (n_k, t_2) path and call them respectively T_1 and T_2 (see Fig. 1).

We consider two cases:

Case IIA: There exists an edge l whose removal disconnects (s_1, t_1) and (s_2, t_2) but not (s_1, t_2) or (s_2, t_1) .

Let \mathcal{D} be the set of all such edges. Note that all the edges in \mathcal{D} are on $P(n_1 : n_k)$. In fact, it is evident that all the edges in \mathcal{D} lie on any path from n_1 to n_k .

Let I_{n_1,n_k} be the set of intermediate nodes between n_1 and n_k including themselves, i.e., n_1, n_k , and the nodes which are both descendants of n_1 and ancestors of n_k .

Claim 1: (i) Any (s_1, t_2) path that contains any node from I_{n_1,n_k} , contains all edges from \mathcal{D} . (ii) Any (s_2,t_1) path that contains any node from I_{n_1,n_k} , contains all edges from \mathcal{D} .

Proof: We prove only the first part by contradiction. The second part follows similarly. Let U be a (s_1,t_2) path containing $z \in I_{n_1,n_k}$ and not containing $l \in \mathcal{D}$ (see Fig. 1(a)). Note that there are paths from z to both t_1 and t_2 since n_k is a descendant of z. Without loss of generality, we assume that z is in $P(n_1:n_k)$. So, any edge in \mathcal{D} is either above or below z on $P(n_1:n_k)$. If l is above z, then $U(s_1:z)P(z:t_1)$ is a (s_1,t_1) path which does not contain $l \in \mathcal{D}$ - a contradiction. If l is below z, then $T_1P(n_1:z)U(z:t_2)$ is a (s_2,t_2) path which does not contain $l \in \mathcal{D}$ - a contradiction.

Claim 2: (i) There exists a (s_1,t_2) path which does not contain any node from I_{n_1,n_k} . (ii) There exists a (s_2,t_1) path which does not contain any node from I_{n_1,n_k} .

Proof: We prove only the first part. The second part follows similarly. If the first part is not true, then by the previous claim, all (s_1, t_2) paths will contain \mathcal{D} . Then removing any edge in \mathcal{D} also disconnects (s_1, t_2) - a contradiction.

Let U_1 and U_2 respectively be (s_1,t_2) and (s_2,t_1) paths not containing any node from I_{n_1,n_k} (see Fig. 1(b)). The network clearly contains a butterfly network as a substructure and thus has a solution. Specifically, we can transmit x_1+x_2 on $P(n_1,n_k)$, x_1 on U_1 , and x_2 on U_2 . This completes the proof for Case IIA.

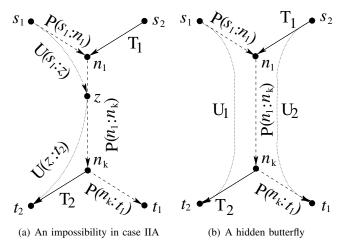


Fig. 1. Case IIA

Case IIB: There exists no edge in N whose removal disconnects both (s_1, t_1) and (s_2, t_2) .

Let $\overline{N}=\{n_2,n_3,...,n_{k-1}\}$ be the ordered set of internal nodes on $P(n_1:n_k)$. Let $\overline{E}=\{e_1,e_2,...e_{k-1}\}$ be the ordered set of edges on $P(n_1:n_k)$ (i.e. $n_i=tail(e_i),\,i\in\{1,...k-1\}$ and $n_k=head(e_{k-1})$). Recall that removing any single edge from \overline{E} does not disconnect both (s_1,t_1) and (s_2,t_2) .

We split our proof into the following three sub-cases:

Case IIB(i): Removing edge e_1 disconnects (s_2, t_2) but not (s_1, t_1) .

In this case there is at least one (s_1, t_1) path which does not contain e_1 . Consider one such path P'. Note that P' does not contain any node which is on any path from s_2 to n_1 or on any path from n_k to t_2 by definition of n_1 and n_k .

Let m(P') be the last node on this path so that there is no common edge between $P'(s_1:m(P'))$ and \overline{E} - that is, m(P') is the tail node of the first common edge, if there is such an edge, between P' and $\overline{E}\setminus\{e_1\}$, or t_1 if there is no such common edge. Thus m(P') will be one of the nodes in $\overline{N} \bigcup \{t_1\}$. So, the nodes m(P') for all such paths P' are totally ordered based on ancestry. Let us denote the last among them by m_0 and call the corresponding path-section from s_1 to m_0 by P_0 . So, P_0 does not have any edge from \overline{E} .

Claim 3: $m_0 \neq t_1$, i.e., $m_0 \in \overline{N}$.

Proof: If $m_0 = t_1$, then clearly there are two edge disjoint (s_1, t_1) and (s_2, t_2) paths and so the network would fall in Case I.

Now, the network may have a variant of the "grail" with multiple "handles" (See Fig. 2) or an augmented half-butterfly (See Fig. 3(b)) as identified by the following iterative algorithm. In fact, the network in Fig. 3(b) can also be seen as a grail network with an extra connection.

With the initialization as i = 1, $v_0 = n_1$, $v_1 = m_0$ and $Q_1 = P_0$, we find the handles iteratively as follows.

If $v_i = t_1$ or t_2 , then terminate. Otherwise increment i and define v_i to be the unique last node (ancestrally) such that

- (i) there is a path Q_i (a new handle) from some node in $V(P(v_{i-2}:v_{i-1}))\setminus\{v_{i-2},v_{i-1}\}$ to v_i with no common edge with \overline{E} , and
 - (ii) there is a path from v_i to t_1 or t_2 .

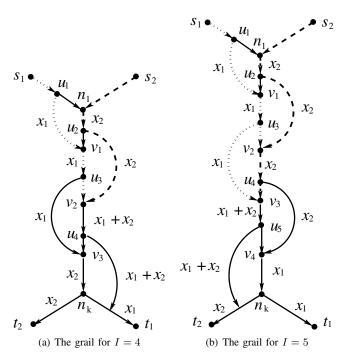


Fig. 2. The grails with multiple "handles" (I > 2)

We denote the first node on Q_i by u_i . We now prove the following claims about this construction.

Claim 4: (i) Such a node v_i exists.

- (ii) Any such v_i is in $\overline{N} \cup \{t_1, t_2\}$.
- (iii) v_i is unique.

Proof: We outline a proof by induction.

Since $v_1 = m_0 \in \overline{N}$, all of the above statements are true for i = 1. We prove these statements for i, assuming them to be true for < i. By our induction hypothesis v_{i-1} satisfies all of the above, particularly $v_{i-1} \in \overline{N}$. Let $e(v_{i-1})$ be the edge in \overline{E} that starts at v_{i-1} , i.e., v_{i-1} is the tail of $e(v_{i-1})$.

By assumption, removing $e(v_{i-1})$ does not disconnect both (s_1,t_1) and (s_2,t_2) . Hence there must be at least one (s_1,t_1) or (s_2,t_2) path which does not contain $e(v_{i-1})$. Let one such path be P^* . If P^* is a (s_1,t_1) path, then it must have a common edge with $P(n_1:v_{i-1})$ by definition of m_0 . If P^* is a (s_2,t_2) path, then it must contain e_1 because e_1 disconnects (s_2,t_2) . In either case, by definition of v_j for j < i, the last

common edge between P^* and $P(n_1:v_{i-1})$ must have the head node, say \underline{v} , in $V(P(v_{i-2}:v_{i-1}))\setminus\{v_{i-2},v_{i-1}\}$. Let the first node from $\{t_1,t_2\}\cup\overline{N}\setminus\{n_1,n_2,...,v_{i-1}\}$ which is on P^* be denoted by \overline{v} . Then $P^*(\underline{v}:\overline{v})$ satisfies the condition of Q_i in the definition of v_i . So \overline{v} satisfies both the conditions in the definition of v_i , and thus guarantees the existence of v_i . Since v_i is an ancestrally last node satisfying the two defining conditions, it follows that $v_i\in\overline{N}\cup\{t_1,t_2\}$. Since this set is totally ordered, v_i is unique.

Now, if the final iteration is i = I, i.e. if $v_I = t_1$ or t_2 , then the following claims hold. Recall that Q_i is the *i-th* handle (path) from u_i to v_i .

Claim 5: (i) If I=2 and $v_2=t_1$ then Q_1 and Q_2 do not share a common node.

- (ii) If I=2 and $v_2=t_2$ then Q_1 and Q_2 share a common edge.
- (iii) If $I \neq 2$ then Q_i and Q_j do not share a common node for $1 \leq i \leq J$.
- (iv) If $I \neq 2$ is even, then there are two edge-disjoint paths, one from s_1 to v_{I-2} via u_{I-1} , and the other from s_2 to v_{I-2} .
- (v) If $I \neq 2$ is odd, then there are two edge-disjoint paths, one from s_1 to v_{I-2} , and the other from s_2 to v_{I-2} via u_{I-1} .
 - (vi) If $I \neq 2$ is even, then $v_I = t_1$.
 - (vii) If I is odd, then $v_I = t_2$.

Proof: (i) If Q_1 (= P_0) and Q_2 share a common node v, then $Q_1(s_1:v)Q_2(v:t_1)$ is a (s_1,t_1) path containing no edge from \overline{E} , which means that $m_0=t_1$ - a contradiction to Claim 3.

- (ii) If Q_1 (= P_0) and Q_2 are edge-disjoint, then $Q_1P(m_0,t_1)$ and $T_1P(n_1,u_2)Q_2$ are edge-disjoint (s_1,t_1) and (s_2,t_2) paths a contradiction.
- (iii) If Q_i and Q_j share a common node v, then $Q_i(u_i:v)Q_j(v:v_j)$ is a path from a node in $V(P(v_{i-2},v_{i-1}))\setminus\{v_{i-2},v_{i-1}\}$ to v_j with no common edge with \overline{E} . Then v_j , a descendant of v_i , satisfies all the properties of v_i a contradiction to the choice of v_i .
- (iv) The paths $Q_1P(v_1:u_3)Q_3P(v_3:u_5)\cdots Q_{I-3}P(v_{I-3}:u_{I-1}:v_{I-2})$ and $T_1P(n_1:u_2)Q_2P(v_2:u_4)\cdots P(v_{I-4}:u_{I-2})Q_{I-2}$ are two such paths as shown in Fig. 2(a) by dotted and dashed lines respectively for I=4.
- (v) The paths are similar to part (iv) and are shown in Fig. 2(b) for I = 5.
- (vi) If $v_I=t_2$, then the paths in the proof of part (iv) together with the paths $Q_{I-1}P(v_{I-1}:t_1)$ and $P(v_{I-2}:u_I)Q_I$ allow construction of two edge disjoint (s_1,t_1) and (s_2,t_2) paths so the network would fall under Case I.
 - (vii) Similar to the proof of part (vi).

So, under Case IIB(i), there are four different types of networks where the two source-terminal pairs are connected.

- 1. For I=2, $v_2=t_1$, the grail as shown in Fig. 3(a).
- 2. For $I=2,\ v_2=t_2$, the augmented half-butterfly shown in Fig. 3(b).
- 3. For even $I \neq 2$, the tall grail shown in Fig. 2(a) with even number of handles and $v_I = t_1$.
- 4. For odd $I \neq 1$, the tall grail shown in Fig. 2(b) with odd number of handles and $v_I = t_2$.

For all the above cases, simple XOR coding solutions exist as shown in Fig. 2 and Fig. 3.

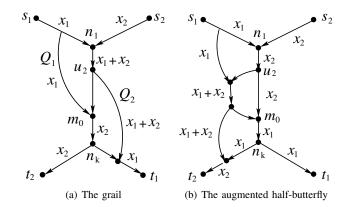


Fig. 3. The solvable networks for I=2

Case IIB(ii): Removing edge e_1 disconnects (s_1, t_1) but not (s_2, t_2) .

The proof for this case is similar to Case IIB(i).

Case IIB(iii): Removing edge e_1 disconnects neither (s_1, t_1) nor (s_2, t_2) .

In this case, we will show that we can keep removing edges from the network till the network falls under Case IIB(i) or Case IIB(ii). Let us denote the m_0 defined in Case IIB(i) as $m_0^{(1)}$, and define $m_0^{(2)}$ similarly considering (s_2,t_2) paths instead of (s_1,t_1) paths (See Fig. 4). By Claim 3, $m_0^{(1)}, m_0^{(2)} \in \overline{N}$. Let the corresponding paths (handles) P_0 be denoted by $P_0^{(1)}$ and $P_0^{(2)}$. WLOG, let us assume that $m_0^{(1)}$ is below or same as $m_0^{(2)}$. In this case we will show that it is possible to selectively remove edges till the network falls under Case IIB(i). Consider any edge on $P(m_0^{(1)}:n_k)$ and denote it by e. Also let us denote the first edge on $P_0^{(2)}$ which is not on T_1 by e'. The relevant nodes, edges, and the paths are shown in Fig. 4.

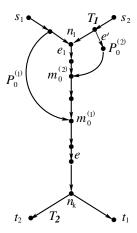


Fig. 4. Reduction of Case IIB(iii) to Case IIB(i)

Claim 6: If removal of e does not disconnect (s_1, t_1) (resp. (s_2, t_2)), then removal of both e and e' also does not disconnect (s_1, t_1) (resp. (s_2, t_2)).

Proof: By definition of $m_0^{(1)}$ and $m_0^{(2)}$, any (s_1,t_1) or (s_2,t_2) path P'' not containing e contains at least one edge, in particular one node v, from the path $P(n_1:tail(e))$. If P'' is a (s_1,t_1) path then $P(s_1:v)P''(v:t_1)$ is a (s_1,t_1) path not containing e and e'. Similarly, if P'' is a (s_2,t_2) path then $T_1P(n_1:v)P''(v:t_2)$ is a (s_2,t_2) path not containing e and e'.

Note that if removal of any edge disconnects (s_1, t_1) or (s_2, t_2) , such an edge must be on $P(n_1 : n_k)$. So, by Claim 6, removing e' will not result in a network where there is an edge whose removal disconnects both (s_1, t_1) and (s_2, t_2) . So, the resulting network will still satisfy Case IIB. We can thus continue this process of removing selected edges till the network satisfies Case IIB(i).

B. Proof of sufficiency

We now prove that if an edge e satisfying the hypothesis of the theorem exists then the capacity region of the network is given by $r_1 + r_2 \le 1$. In particular, this implies that the rate (1,1) is not supported. WLOG, let us assume that there is an edge e whose removal disconnects (s_1,t_1) , (s_2,t_2) and (s_2,t_1) .

Since both the source-terminal pairs are assumed to be connected, there exist (s_1,t_1) and (s_2,t_2) paths. Then by time-sharing between the achievable rates (1,0) and (0,1), we can achieve any rate (r_1,r_2) with $r_1+r_2=1$. It only remains to be proved that no rate-pair with $r_1+r_2>1$ is achievable.

Note that e may or may not disconnect (s_1, t_2) . Fig. 5 depicts the connectivity between the sources and the terminals and the role of e.

Let us assume that the network supports a n-length vector (possibly non-linear) network code by which $\mathbf{x}_1 \in \mathcal{A}^{r_1n}$ and $\mathbf{x}_2 \in \mathcal{A}^{r_2n}$ are communicated to t_1 and t_2 respectively. So, under this coding scheme, each edge carries an element of \mathcal{A}^n , and the terminals t_1 and t_2 can recover the source vectors $\mathbf{x}_1 \in \mathcal{A}^{r_1n}$ and $\mathbf{x}_2 \in \mathcal{A}^{r_2n}$ respectively. Let $\mathbf{Y}_e = f(\mathbf{x}_1, \mathbf{x}_2) \in \mathcal{A}^n$ denote the vector carried by the edge e. Since e is a cut between s_2 and t_2 , and since t_2 can recover \mathbf{x}_2 , $f(\mathbf{x}_1, \mathbf{x}_2)$ is a 1-1 function of \mathbf{x}_2 for any fixed value of \mathbf{x}_1 .

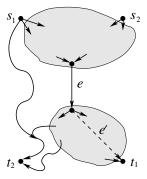


Fig. 5. Connectivity in a network with an edge e satisfying the hypothesis of Theorem 1

Now let us consider a new network obtained by adding an edge e' from head(e) to t_1 (see Fig. 5). We will show that

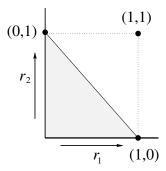


Fig. 6. Capacity region of a network with an edge satisfying the hypothesis of Theorem 1

even for this stronger network the rate pair (r_1, r_2) must satisfy $r_1 + r_2 \le 1$. Even in this new network, e is a cut between $\{s_1, s_2\}$ and t_1 . Since t_1 recovers \mathbf{x}_1 and $f(\mathbf{x}_1, \mathbf{x}_2)$ is a 1-1 function of \mathbf{x}_2 for a given \mathbf{x}_1 , t_1 can recover \mathbf{x}_2 as well in this new network. Hence in the new network t_1 recovers both \mathbf{x}_1 and \mathbf{x}_2 . So, f is a 1-1 function of the pair $(\mathbf{x}_1, \mathbf{x}_2)$. So, $|\mathcal{A}|^n \ge |\mathcal{A}|^{r_1n+r_2n} \Rightarrow r_1+r_2 \le 1$.

V. CONCLUSION

In this paper, we gave a very simple necessary and sufficient condition for a directed acyclic network to simultaneously support two unicast sessions at rate 1 under vector network coding, and used this to provide an exact capacity region characterization, namely $r_1 + r_2 \le 1$, of networks which do not support the rate (1,1).

VI. ACKNOWLEDGMENT

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